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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/734,772	12/12/2003	Douglas Heintzman	AUS920030916US1	1755	
46240 020442099 IBM CORPORATION (WMA) C/O WILLIAMS, MORGAN & AMERSON, P.C.			EXAM	EXAMINER	
			TRAN, HENRY N		
10333 RICHMOND, SUITE 1100 HOUSTON, TX 77042		ART UNIT	PAPER NUMBER		
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

#### UNITED STATES PATENT AND TRADEMARK OFFICE

# BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

 $\label{eq:exparte:pouglas} \textit{Ex parte:} \ \ \text{DOUGLAS HEINTZMAN, RICHARD S. SCHWERDTFEGER} \\ \text{AND}$ 

LAWRENCE F. WEISS

Application No. 10/734,772 Technology Center 2629

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Mailed: February 4, 2009

Before KRISTA ZELE Deputy Chief Appeals Administrator ZELE, Deputy Chief Appeals Administrator.

## ORDER RETURNING UNDOCKETED APPEAL TO EXAMINER

This application was electronically received by the Board of Patent Appeals and Interferences on December 2, 2008. A review of the application revealed that it is not ready for docketing as an appeal. Accordingly, the application is herewith being returned to the Examiner to address the following matter(s) requiring attention prior to docketing.

#### APPEAL BRIEF, SUMMARY OF CLAIMED SUBJECT MATTER

Appellant filed an Appeal Brief dated December 4, 2007, the Appeal Brief is not in compliance with 37 CFR § 41.37(c) effective September 13, 2004.

According to 37 CFR § 41.37(c) (v), an Appeal Brief must include the following:

(v) Summary Of Claimed Subject Matter. A concise explanation of the subject matter defined in each of the independent claims involved in the appeal, which must refer to the specification by page and line number, and to the drawing, if any, by reference characters. While reference to page and line number of the specification \*\*>requires< somewhat more detail than simply summarizing the invention, it is considered important to enable the Board to more quickly determine where the claimed subject matter is described in the application. >For each independent claim involved in the appeal and for each dependent claim argued separately under the provisions of 37 CFR 41.37(c)(1)(vii), every means plus function and step plus function as permitted by 35 U.S.C. 112, sixth paragraph, must be identified and the structure, material, or acts described in the specification as corresponding to each claimed function must be set forth with reference to the specification by page and line number, and to the drawing, if any, by reference characters. (emphasis added)

The "Summary of claimed subject matter" appearing on pages 2, 3, 4, 5, 6, 7, 8, and 9, of the Appeal Brief filed December 4, 2007 is deficient because it does not map independent claims 1, 11, 19, 20, and 25 to the specification by page and line number. It is noted that mapping is done via paragraph numbers; however, paragraph numbers are not provided in the instant specification. Correction is required.

MPEP § 1205.03 states in part:

(B) When the Office holds the brief to be defective solely due to appellant's failure to provide a summary of the claimed subject matter as required by 37 CFR 41.37(c)(1)(v), an entire new brief need not, and should not, be filed. Rather, a paper providing a summary of the

claimed subject matter as required by 37 CFR 41.37(c)(1)(v) will suffice. Failure to timely respond to the Office's requirement will result in dismissal of the appeal. See MPEP § 1215.04 and § 711.02(b).

### CONCLUSION

Accordingly, it is **ORDERED** that the application is returned to the Examiner:

- 1) hold the Appeal Brief filed December 4, 2007 defective, as required by 37 CFR § 41.37(d);
- 2) notify the Appellant to submit a "paper" which corrects the Appeal Brief's Summary of Claimed Subject Matter under 37 CFR §41.37(c)(1)(v);
- acknowledge and consider any "paper" submitted by Appellant to correct the Appeal Brief;
  - 4) such further action as may be required.

If there are any questions pertaining to this Order, please contact the Board of Patent Appeals and Interferences at 571-272-9797.

## Application S.N. 10/734,772

IBM CORPORATION (WMA) C/O WILLIAMS, MORGAN & AMERSON, P.C. 10333 RICHMOND, SUITE 1100 HOUSTON TX 77042